



Express Mail No. EV 313 842 250 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Li-Huan Jen

Confirmation No.: 4631

Serial No.: 09/578,217

Art Unit: 2653

Filed: May 23, 2000

Examiner: Psitos, Aristotelis M.

For: *Apparatus and Method for  
Counting Error Rates in an Optical  
Compact Disc Storage System*

Attorney Docket No: 9826-0014-999

RECEIVED

DEC 02 2003

Technology Center 2600

FEE TRANSMITTAL SHEET

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The fee required to be filed with the accompanying amendment of even date herewith concerning the above-identified application has been estimated to be \$86.00.

The claim amendment fee has been estimated as shown below:

12/05/2003 HJONES2 00000001 161150 09578217

01 FC:1201

86.00 DR		(Col. 2)	(Col. 3)	<input type="checkbox"/> SMALL ENTITY	<input checked="" type="checkbox"/> OTHER THAN A SMALL ENTITY				
CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID	PRESENT EXTRA	RATE	ADDIT. FEE	OR	RATE	ADDIT. FEE	
TOTAL	20	MINUS	20	0	x 9	\$	x 18	\$	0.00
INDEP.	4	MINUS	3	1	x 43	\$	x 86	\$	86.00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					\$			\$	0.00
TOTAL				\$	OR	TOTAL	\$	86.00	

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Date: November 19, 2003

Respectfully submitted,

  
Gary S. Williams

31,066  
(Reg. No.)

PENNIE & EDMONDS LLP  
3300 Hillview Avenue  
Palo Alto, California 94304  
(650) 493-4935



Express Mail No. EV 313 842 250 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5/A  
MDJ  
12.5.03

Applicant of:  
Li-Huan Jen

Serial No.: 09/578,217

Filed: May 23, 2000

For: *Apparatus and Method for  
Counting Error Rates in an Optical  
Compact Disc Storage System*

Confirmation No.: 4631

Art Unit: 2653

Examiner: Psitos, Aristotelis M.

Attorney Docket No: 9826-0014-999

November 19, 2003

RECEIVED

DEC 02 2003

Technology Center 2600

AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The enclosed Amendment is in response to the Office Action dated August 25, 2003 for the above identified patent application.

A listing of the claims begins on page 2.

Remarks begin on page 6.

Appendix A contains a Substitute Specification.

Appendix B contains copies of assignment records relevant to the Remarks, providing the evidentiary basis for the Applicant's assertion that the Kelly patent cannot be cited under 35 U.S.C. 103(a).